



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

October 6, 2016

STEPHEN J. KAUFMAN, TREASURER  
KAMALA HARRIS FOR SENATE  
777 S FIGUEROA ST STE 4050  
LOS ANGELES, CA 90017

**Response Due Date**

**11/10/2016**

IDENTIFICATION NUMBER: C00571919

REFERENCE: JULY QUARTERLY REPORT (05/19/2016 - 06/30/2016)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Schedule A of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-Primary Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. (11 CFR § 104.5(f))

If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information. The entry for the transfer itself should show the date the contribution was transferred to your committee by the joint fundraising representative.

2. Your committee filed a 48-hour notice disclosing "last minute" contributions from Jerome S Moss on 5/27/2016 and Michael C Alder on 5/29/2016. These contributions do not appear on a Schedule A of this report. Please amend your

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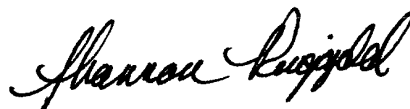
report to include these contributions or provide an explanation of this apparent discrepancy. (11 CFR § 104.3(a)(4)(i)).

- Your report fails to provide election designations for contributions disclosed on Schedule A for Line 12. Please note that Authorized committees should indicate the election for which the contribution was designated. If no election is designated, the contribution is applied to the next election for the federal office the candidate is seeking after the contribution date. (11 CFR §§ 110.1(b)(2) and 110.2(b)(2))

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**



A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. For additional information about the report review process or specific filing information for your committee type, please visit <http://www.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1142.

Sincerely,



Shannon Ringgold  
Campaign Finance Analyst  
Reports Analysis Division

**Missing 48-Hour Notices  
Kamala Harris For Senate (C00571919)**

Contributor Name	Date	Amount	Election
	5/27/16	\$1,000.00	P2016
	6/3/16	\$3,500.00	P2016