

# **Louisiana Department of Justice Public Protection Division**

# ATHLETE AGENT REGISTRATION

(LSA R.S. 4:423)

#### INSTRUCTIONS

(PLEASE NOTE: ALL REQUESTED INFORMATION, REGISTRATION FEE AND REQUESTED DOCUMENTS MUST BE PROVIDED TO THE DIVISION OR THE APPLICATION WILL BE RETURNED TO THE APPLICANT FOR RESUBMISSION.)

- 1. This registration is valid from July 1st through June 30th. To renew registration, an application for renewal should be filed. (R.S. 4:422. C) In the case of law firms, management companies, corporations, L.L.C.'s, etc., each individual athlete agent (as defined in R.S. 4:421) must register independently.
- §423. Agent's contract; schedule of fees; maximum fee; notification; penalty
  - A. Any agent contract to be used by a registered athlete agent with an athlete shall be filed with the division.
  - B. (1) The following provision shall be printed on a separate document, which shall be attached to the agent contract and signed by the athlete and shall be considered an addendum to the contract, in at least tenpoint type that is bold-faced, capitalized, underlined, or otherwise made to be conspicuous:

#### "Notice to Client

- (a) This athlete agent is registered with the public protection division of the Department of Justice. Registration does not imply approval or endorsement by the division of the specific terms and conditions of this contract or the competence of the athlete agent.
- (b) When you sign this contract, you will likely immediately lose your eligibility to compete in intercollegiate athletics. Your agent (who is an athlete agent) must give written notice that you have entered into this contract to the athletic director and the head coach of your institution or school within seventy-two hours after entering into this contract or prior to participating in intercollegiate athletics, whichever comes first. Failure by the athlete agent to provide this notice is a criminal offense.
- (c) Do not sign this contract until you have read it or if it contains blank spaces.
- (d) If you decide that you do not wish to purchase the services of the athlete agent, you may rescind this contract by notifying the athlete agent in writing of your desire to rescind the contract not later than the sixteenth day after the date on which this contract is filed with the division. However, even if you rescind this contract, the federation or association of which your institution of higher education or school is a member may not restore your eligibility to participate in intercollegiate athletics.
- (e) IF YOU BELIEVE YOU HAVE BEEN UNLAWFULLY INDUCED INTO SIGNING THIS CONTRACT, YOU CAN CONTACT YOUR ATHLETIC DIRECTOR OR HEAD COACH WHO CAN ASSIST YOU TO HAVE THIS CONTRACT DECLARED VOID AND UNENFORCEABLE."
- (2) Subparagraph (1)(e) of this Subsection shall be included with all letters capitalized and in a bold-faced type in a font the same size or larger than surrounding text.
- C. A written contract between an athlete and an athlete agent must state the fees and percentages to be paid by the athlete to the agent.
- D. A registered athlete agent shall file with the division a schedule of fees that the agent may charge to and collect from an athlete and shall file a description of the various professional services to be rendered in return for each fee. The athlete agent may impose charges only in accordance with the fee schedule.

Changes in the fee schedule may be made from time to time, but a change shall not become effective until the seventh day after the date the change is filed with the division.

- E. If a multiyear professional sport services contract is negotiated by a registered athlete agent for an athlete, the athlete agent shall not collect in any twelve-month period for the services of the agent in negotiating the contract, a fee that exceeds the amount the athlete will receive under the contract in that twelve-month period.
- F. (1) A registered athlete agent shall file with the division a copy of an agent contract made with an athlete who has never before signed a contract of employment with a professional sports team.
  - (2) (a) If the athlete is a full-time student at an institution of higher education located in this state, the athlete agent and the athlete shall provide written notice of the contract to and shall file the contract with the athletic director or the president of the institution. The athlete agent and the athlete must give notice before the contracting athlete practices or participates in any intercollegiate athletic event or within seventy-two hours after entering into said contract, whichever comes first.
    - (b) Failure of the athlete agent to provide this notification, which is declared a misdemeanor, shall be punishable by a fine of not more than ten thousand dollars, or imprisonment for not more than one year, or both.
  - (3) An agent contract is not effective until the sixteenth day after the date of execution of the agent contract. The athlete has the right to rescind the agent contract any time prior to the sixteenth day after the date of execution. In the event of recision by the athlete pursuant to this Section, the agent contract shall be void for all purposes as if never executed.
- G. (1) A postdated agent contract is void and unenforceable.
  - (2) An athlete agent shall not enter into an agent contract that purports to or takes effect at a future time after the athlete no longer has remaining eligibility to participate in intercollegiate athletics. Such contract is void and unenforceable.
  - (3) An agent contract between an athlete and a person not registered under this Chapter is void and unenforceable.

- (4) Any contract executed by a student athlete with an athlete agent who has failed to comply with the notification and disclosure requirements of R.S. 4:423(B) and 424(C) and (D) is void and unenforceable.
- H. In the event the athlete agent fails to make notification of the contract to the athletic director and to the head coach of the institution or school, as indicated by Subparagraph (B)(1)(b) of this Section, the recision period shall not begin until such notice is made.
- I. In the event the athlete agent provides the athlete consideration or anything of value prior to the execution of the agent contract, the agent contract is void and unenforceable.

If you have any questions, contact:
Louisiana Department of Justice
Public Protection Division
Athlete Agent Registration
1885 N. 3rd St., 4th Floor
Baton Rouge, LA 70802
Phone: (225) 326-6400



# LOUISIANA DEPARTMENT OF JUSTICE

## Public Protection Division ATHLETE AGENT REGISTRATION (LSA R.S. 4:423)

Enclose \$100.00 filing fee. Make remittance payable to:

Louisiana Department of Justice

Do not send cash!

Mail remittance with form to: Louisiana Department of Justice Public Protection Division Athlete Agent Registration 1885 N. 3rd Street, 4th Floor Baton Rouge, LA 70802

CHECK ONE: () Original Filing () Renewal

(PLEASE NOTE: ALL REQUESTED INFORMATION, REGISTRATION FEE AND REQUESTED DOCUMENTS MUST BE PROVIDED TO THE DIVISION OR THE APPLICATION WILL BE RETURNED TO THE APPLICANT FOR RESUBMISSION. ALSO, IF THE APPLICANT IS A CORPORATION, THE INFORMATION REQUIRED BY THIS REGISTRATION MUST BE PROVIDED BY EACH OFFICER OF THAT CORPORATION. IF THE APPLICANT IS AN ASSOCIATION OR PARTNERSHIP, SUCH INFORMATION MUST BE PROVIDED BY EACH ASSOCIATE OR PARTNER.)

State of			
Parish/County of			
Applicant's Name			
Telephone Number(s): Cellular ( )		Business (	)
Address			
City/State/Zip			
Email address:			
Applicant is certified by the			Player's Association.
Name of applicant's principal place of business	S		
Address (Enter "SAME" if same as above)			
City	State	Zip (	Code
Business website address:			

# BUSINESS OCCUPATIONS: Applicant's business occupations for the 5 (five) years immediately preceding the date of application: (Please list any additional occupations and dates on a separate sheet of paper and attach to this form) Occupation \_\_\_\_\_\_ Dates: From \_\_\_\_\_\_ To \_\_\_\_\_ Occupation \_\_\_\_\_\_ Dates: From \_\_\_\_\_\_ To \_\_\_\_\_

#### **REFERENCES:**

Names, addresses and telephone numbers of 3 (three) references with whom the applicant has dealt with in his capacity as an athlete agent, or in the practice of his business or profession.

(1) Name			
Address			
City	State	Zip Code	
Telephone Number(s)			
(2) Name			
Address			
City	State	Zip Code	
Telephone Number(s)			
(3) Name			
Address			
City	State	Zip Code	
Telephone Number(s)		-	

#### **INTERESTS:**

Names and addresses of ALL persons, except bona fide employees on stated salaries, who have financial interest in the operation of the business of the athlete agent: (*Please list any additional persons and addresses on a separate sheet of paper and attach to this form*)

(1) Name			
Address			
City	State	Zip Code	
(2) Name			
Address			
City	State	Zip Code	
(3) Name			
Address			
City	State	Zip Code	

## ATHLETE REPRESENTATION:

Names and addresses of ALL athletes for whom the athlete agent is providing professional services for compensation at the time of the renewal: (Please list any additional athletes and addresses on a separate sheet of paper and attach to this form)

(1) Name		
Address		
City	State	Zip Code
Sports League Affiliation		
(2) Name		
Address		
City	State	Zip Code
Sports League Affiliation		
(3) Name		
Address		
City	State	Zip Code
Sports League Affiliation		
AGENT FOR SERVICE OF PROC The name and address of the appl accepting such appointment from the filed and on record with the secretarecord with the Louisiana Secretary  Name Address	licant's agent for service he applicant's agent for seary of state. If such affid of State please fill out the	ervice of process, if not previously avit is not previously filed and on affidavit provided.
Address City	54040	7:- Codo
City	State	Zip code
personally appeared, the undersign subscribed to within this form, and,	ed applicant, known to m being first duly sworn on form subscribed by him an anowledge and belief.	ne
Applicant (Signature)	Notary (Sign	nature)
Applicant (Print)	Notary (Prin	nt)
	Notary (ID N	Number)



# JEFF LANDRY ATTORNEY GENERAL

# **Athlete Agent Registration: Affidavit of Appointment**

I,, accept appointment to be	ecome agent for service or process for
of Louisiana. to serv	ve in his capacity as an athlete agent in the State
Date:	(Signature)
	(Print)
STATE OF	
PARISH/ COUNTY OF	-
	y affirm that, personally of and signed the above
WITNESS my hand and official seal in the Paris day of	sh/ County and State last aforesaid this
	Notary (Signature)
	Notary (Print)
	Notary (ID Number)

## LOUISIANA ATHLETE AGENT REGISTRATION DOCUMENT CHECK LIST

(PLEASE NOTE: ALL REQUESTED INFORMATION, REGISTRATION FEE AND REQUESTED DOCUMENTS MUST BE PROVIDED TO THE DIVISION OR THE APPLICATION WILL BE RETURNED TO THE APPLICANT FOR RESUBMISSION.)

- **1.** Registration application signed and notarized;
- **2.** Registration fee of \$100 (Attorneys that are active members of the Louisiana State Bar Association are exempt from paying the agent registration fee.)
- **3.** Schedule of fees Fees that the agent may charge to and collect from an athlete. (The schedule of fees must be submitted in letter form on the agent's letterhead);
- **4.** Professional services list A description of the various professional services to be rendered in return for each fee. (The list must be submitted in letter form on the agent's letterhead);
- **5.** Copy of the athlete agent's contract to be used with an athlete;
- **6.** Copy of the athlete agent's contract made with an athlete who has never before signed a contract of employment with a professional sports team;
- **7.** Copy of a certified Professional Players Association (PPA) certificate, from an appropriate Professional Players Association. (Associations such as: *NFLPA*, *NBAPA*, *Major League Baseball Players Association*, *or other sports associations*.) The certified registration document must coincide with the professional league(s) that the athlete agent is soliciting athletes.
- **8.** Agent for service of process The name and address of the applicant's agent for service of process, including an affidavit accepting such appointment from the applicant's agent for service of process, if not previously filed and on record with the secretary of state. (There is available space on the application to apply the name and address information. If there has not been a previous filing with the secretary of state, please fill out the Affidavit of Appointment provided.)

# LOUISIANA REVISED STATUTES TITLE 4. AMUSEMENTS AND SPORTS CHAPTER 7. ATHLETES AND ATHLETE AGENTS

- §420. Declaration of Purpose
- §421. Definitions
- §422. Registration of agents
- §423. Agent's contract; schedule of fees; maximum fee; notification; penalty
- §424. Prohibited activities; duties, obligations, and responsibilities
- §425. On-campus agent interviews
- §426. Violations; penalties
- §427. Records to be kept; penalties
- §428. Implementing rules and regulations
- §429. Time for registration and compliance with Chapter
- §430. Attorneys licensed in state
- §431. Enforcement
- §432. Liability for loss of eligibility
- §433. Unlawful payments; penalties

#### §420. Declaration of purpose

- A. The legislature hereby recognizes that it is a special privilege afforded to athlete agents to contact student athletes to secure their athletic abilities for professional sports teams. The legislature also feels that with that privilege should come certain duties, obligations, and responsibilities. The legislature hereby enacts the laws of this Chapter to regulate those contacts and to protect young, inexperienced student athletes in this state from unscrupulous athlete agents and other persons who would seek to exploit such athletes, or their institutions or schools, when entering or seeking to enter into an agent contract or professional sport services contract or when obtaining or seeking to obtain employment with a professional sports team.
- B. It is the further purpose of the legislature in enacting this Chapter to protect student athletes from contacts with and accepting things of value from athlete agents and other persons in a manner so as to cause such athletes to unintentionally lose their eligibility to compete in interscholastic or intercollegiate athletics.
- C. It is the further purpose of the legislature to protect the educational institutions and schools of this state from unscrupulous athlete agents, athletes, and other persons who would cause such institutions to suffer various sanctions as a result of unlawful activities in connection with athletes participating in sports at such institutions and schools.
- D. Another purpose of the legislature in enacting this Chapter is to recognize that the relationship between a student athlete and their institution or school is one of contract.
- E. Accordingly, the legislature, in enacting the provisions of this Chapter, hereby creates causes of action, rights, and remedies for such institutions and schools to recover for damages caused to the institution or school by any person acting in violation of this Chapter. Such causes of action specifically include but are not limited to the cause of action known as tortious interference with an athlete contract.

#### §421. Definitions

#### A. As used in this Chapter:

- (1) "Agent contract" means any contract or agreement, whether written or oral, under which an athlete authorizes an athlete agent to negotiate or solicit on behalf of the athlete for the employment of the athlete by one or more professional sports teams.
- (2) "Anything of value" means anything of value. It shall be given the broadest possible construction, including any conceivable thing of the slightest value, movable or immovable, corporeal or incorporeal, public or private, and specifically including transportation, telephone and telegraph services, or other services available for hire. It shall be construed in the popular sense of the phrase and not necessarily as synonymous with the traditional legal term "property". It shall specifically include but not be limited to a donation, sale, lease, loan, suretyship, and mortgage.
- (3)(a) "Athlete" means a student who resides in this state, or a student who does not reside in this state but has informed in writing an institution of higher education in this state of the student's intent to participate in that school's intercollegiate sports contests, and who is characterized by either of the following:
- (i) Is eligible to participate in junior high, high school, or intercollegiate sports contests as a member of a sports team of a junior high, high school, or institution of higher education which is located in this state and which is a member of a federation or association.
- (ii) Has participated as a member of such a sports team at a junior high, high school, or institution of higher education.
- (b) "Athlete" does not mean a student who has completed his last intercollegiate or interscholastic sports contest, including postseason contests, or who has notified in writing the athletic director or head coach of the school or institution at which he is participating that he is renouncing his eligibility to participate on such sports team.
- (4) "Athlete agent" means any person who recruits or solicits an athlete to enter into an agent contract or professional sport services contract with any person, or who offers anything of value to any person to induce an athlete to enter into an agreement by which any person will represent the athlete, or who for anything of value procures, offers, promises, or attempts to obtain employment for an athlete with a professional sports team.
- (5) "Athlete contract" means any contract or agreement executed by a student athlete with an educational institution or school concerning the student's participation in that institution's intercollegiate sport contests or interscholastic athletic activities.
- (6) "Certified by the appropriate players association" means that an athlete agent has received approval of his application for certification from the appropriate players association of the professional league for which he is soliciting athletes, has fulfilled all other requirements of the players association, and is in good standing before the players association.
- (7) "Contact" means any communication by an athlete agent or by any intermediary with an athlete, or with any third person for the purpose of having that third person communicate with the athlete about the possibility of or formation of any business, financial, or other contractual relationship

or agreement, including an agent contract, a professional services contract, or a professional sports services contract.

- (8) "Division" means the public protection division of the Department of Justice.
- (9) "Federation or association" means any state or national association for the promotion and regulation of interscholastic or intercollegiate sports governing athletes and their relationships with athlete agents.
- (10) "Institution" means any institution of higher education in this state having an athlete contract with an athlete.
- (11) "Intermediaries" or "third person" means any persons other than the athlete agent or the athlete.
- (12) "Person" means an individual, company, corporation, association, partnership, or other legal entity.
- (13) "Registered athlete agent" means an athlete agent registered with the division under the provisions of this Chapter.
- (14) "School" means any secondary school in this state, including high schools and junior high schools, that has an athlete contract with an athlete.
- B. For purposes of this Chapter, the execution by an athlete of a personal service contract with the owner or prospective owner of a professional sports team for the purpose of future athletic services is equivalent to employment with a professional sports team.

Acts 1987, No. 925, §1; Acts 1990, No. 882, §§1 and 2; Acts 1993, No. 702, §1; Acts 1999, No. 302, §§1, 2; Acts 2001, No. 1094, §1; Acts 2004, No. 351, §1.

#### §422. Registration of agents

- A.(1) An athlete agent shall register with the division as provided in this Chapter before the athlete agent may contact an athlete.
- (2) An athlete agent must first be certified by the appropriate professional players association or associations in the professional league or leagues for which he is soliciting athletes in order to be registered as an athlete agent in this state.
- (3) For the purposes of this Chapter, any document required to be filed with the division shall be deemed filed when it is received either physically or electronically in any office designated by the division for the receipt of such documents.
- B. (1) A written application for registration or renewal shall be signed by the applicant, notarized, made to the division on a form prescribed by the division, and shall state:
  - (a) The name of the applicant and the address of the applicant's principal place of business.
- (b) The business or occupation engaged in by the applicant for the five years immediately preceding the date of application.

- (c) The names and addresses of three references, including any persons, if any, with whom the applicant has dealt in his capacity as an athlete agent or in the practice of his business or profession, not to exceed three such persons.
- (d) The names and addresses of all persons, except bona fide employees on stated salaries, who are financially interested as partners, associates, or profit sharers in the operation of the business of the athlete agent.
- (e) The names and addresses of all athletes for whom the athlete agent is providing professional services for compensation at the time of the renewal.
- (f) The name and address of the applicant's agent for service of process, including an affidavit accepting such appointment from the applicant's agent for service of process, if not previously filed and on record with the secretary of state.
- (2) If the applicant is a corporation, the information required by this Subsection shall be provided by each officer of that corporation. If the applicant is an association or partnership, such information shall be provided by each associate or partner.
- C. The registration is valid from July first of one year through June thirtieth of the following year. An initial registration is valid until the first June thirtieth following the date of the registration. Renewal of the registration may be made by the filing of an application for renewal.
- D. To produce sufficient revenue to offset the expenses incurred by the division in administering this Chapter, an annual registration fee of one hundred dollars shall be paid.

Acts 1987, No. 925, §1; Acts 1988, No. 537, §1; Acts 1990, No. 882, §1; Acts 1999, No. 302, §§1, 2; Acts 1999, No. 342, §2; Acts 2001, No. 1094, §1; Acts 2004, No. 351, §1.

#### §422.1. Denial of registration; refusal to renew; revocation or suspension; notification; hearing

- A. The division may, pursuant to an adjudicatory hearing, refuse to issue or renew a registration upon proof that the applicant has engaged in any one or more of the following activities:
- (1) Has made false or misleading statements of a material nature in his application for registration or renewal.
- (2) Has ever been convicted of fraud, embezzlement, a felonious theft, or any other crime involving a misappropriation of funds, which could render him unfit in a fiduciary capacity.
- (3) Has engaged in conduct which violates or causes an athlete to violate any rule or regulation promulgated by any federation or association.
  - (4) Has been denied certification by any professional players association.
- (5) Has engaged in conduct which has caused an institution or school to be investigated by or sanctioned by any federation or association.
- B. The division may, pursuant to an adjudicatory hearing, suspend or revoke a registration upon proof that an athlete agent has engaged in any of the activities enumerated in Subsection A of this Section or for a violation of this Chapter or any rule adopted pursuant to this Chapter.

C. Prior to a denial, refusal to renew, suspension, or revocation of registration, the division shall notify the applicant or athlete agent in writing by certified mail of the reasons for denial, refusal to renew, suspension, or revocation and of the date of the hearing. All adjudicatory hearings shall be held in accordance with the Administrative Procedure Act.

Acts 1999, No. 302, §1; Acts 2004, No. 351, §1.

#### §423. Agent's contract; schedule of fees; maximum fee; notification; penalty

- A. Any agent contract to be used by a registered athlete agent with an athlete shall be filed with the division.
- B.(1) The following provision shall be printed on a separate document, which shall be attached to the agent contract and signed by the athlete and shall be considered an addendum to the contract, in at least ten-point type that is bold-faced, capitalized, underlined, or otherwise made to be conspicuous: "Notice to Client
- (a) This athlete agent is registered with the public protection division of the Department of Justice. Registration does not imply approval or endorsement by the division of the specific terms and conditions of this contract or the competence of the athlete agent.
- (b) When you sign this contract, you will likely immediately lose your eligibility to compete in intercollegiate athletics. Your agent (who is an athlete agent) must give written notice that you have entered into this contract to the athletic director and the head coach of your institution or school within seventy-two hours after entering into this contract or prior to participating in intercollegiate athletics, whichever comes first. Failure by the athlete agent to provide this notice is a criminal offense.
  - (c) Do not sign this contract until you have read it or if it contains blank spaces.
- (d) If you decide that you do not wish to purchase the services of the athlete agent, you may rescind this contract by notifying the athlete agent in writing of your desire to rescind the contract not later than the sixteenth day after the date on which this contract is filed with the division. However, even if you rescind this contract, the federation or association of which your institution of higher education or school is a member may not restore your eligibility to participate in intercollegiate athletics.
- (e) IF YOU BELIEVE YOU HAVE BEEN UNLAWFULLY INDUCED INTO SIGNING THIS CONTRACT, YOU CAN CONTACT YOUR ATHLETIC DIRECTOR OR HEAD COACH WHO CAN ASSIST YOU TO HAVE THIS CONTRACT DECLARED VOID AND UNENFORCEABLE."
- (2) Subparagraph (1)(e) of this Subsection shall be included with all letters capitalized and in a bold-faced type in a font the same size or larger than surrounding text.
- C. A written contract between an athlete and an athlete agent must state the fees and percentages to be paid by the athlete to the agent.
- D. A registered athlete agent shall file with the division a schedule of fees that the agent may charge to and collect from an athlete and shall file a description of the various professional services to be rendered in return for each fee. The athlete agent may impose charges only in accordance with the

fee schedule. Changes in the fee schedule may be made from time to time, but a change shall not become effective until the seventh day after the date the change is filed with the division.

- E. If a multiyear professional sport services contract is negotiated by a registered athlete agent for an athlete, the athlete agent shall not collect in any twelve-month period for the services of the agent in negotiating the contract, a fee that exceeds the amount the athlete will receive under the contract in that twelve-month period.
- F.(1) A registered athlete agent shall file with the division a copy of an agent contract made with an athlete who has never before signed a contract of employment with a professional sports team.
- (2)(a) If the athlete is a full-time student at an institution of higher education located in this state, the athlete agent and the athlete shall provide written notice of the contract to and shall file the contract with the athletic director or the president of the institution. The athlete agent and the athlete must give notice before the contracting athlete practices or participates in any intercollegiate athletic event or within seventy-two hours after entering into said contract, whichever comes first.
- (b) Failure of the athlete agent to provide this notification, which is declared a misdemeanor, shall be punishable by a fine of not more than ten thousand dollars, or imprisonment for not more than one year, or both.
- (3) An agent contract is not effective until the sixteenth day after the date of execution of the agent contract. The athlete has the right to rescind the agent contract any time prior to the sixteenth day after the date of execution. In the event of recision by the athlete pursuant to this Section, the agent contract shall be void for all purposes as if never executed.
  - G. (1) A postdated agent contract is void and unenforceable.
- (2) An athlete agent shall not enter into an agent contract that purports to or takes effect at a future time after the athlete no longer has remaining eligibility to participate in intercollegiate athletics. Such contract is void and unenforceable.
- (3) An agent contract between an athlete and a person not registered under this Chapter is void and unenforceable.
- (4) Any contract executed by a student athlete with an athlete agent who has failed to comply with the notification and disclosure requirements of R.S. 4:423(B) and 424(C) and (D) is void and unenforceable.
- H. In the event the athlete agent fails to make notification of the contract to the athletic director and to the head coach of the institution or school, as indicated by Subparagraph (B)(1)(b) of this Section, the recision period shall not begin until such notice is made.
- I. In the event the athlete agent provides the athlete consideration or anything of value prior to the execution of the agent contract, the agent contract is void and unenforceable.

Acts 1987, No. 925, §1; Acts 1990, No. 882, §1; Acts 1999, No. 302, §1; Acts 2001, No. 1094, §1; Acts 2004, No. 351, §1.

#### §424. Prohibited activities; duties, obligations, and responsibilities

A. An athlete agent shall not:

- (1) Sell, transfer, or give away any interest in or the right to participate in the profits of the athlete agent without the prior written disclosure to the division and the written consent of the athlete.
- (2) Publish or cause to be published any false, fraudulent, or misleading information, representation, notice, or advertisement.
- (3) Advertise by means of cards, circulars, or signs, or in newspapers and other publications, or use letterheads, receipts, or blanks unless the advertisement, letterhead, receipt, or blank is printed and contains the registered name and address of the athlete agent.
- (4) Intentionally give any false information or intentionally make any false promises or representations to any athlete or the athlete's parents, legal guardians, or other advisors or fail to disclose that he is employed by, or acting on behalf of, someone else.
- (5) Divide fees with or receive compensation from a professional sports league or franchise, or its representative or employee.
- (6) Enter into any agreement, written or oral, with or offer anything of value to any employee of an institution of higher education or school located in this state in return for that employee's inducement of any athlete to enter into an agreement for representation of the athlete.
- (7) Offer anything of value to the athlete or any other person to induce an athlete to enter into an agreement, written or oral, for representation of the athlete.
  - (8) Postdate agent contracts.
- (9) Contact an athlete in a manner so as to violate the rules of the federation or association of which that school or institution where the athlete is participating in sports contests is a member, to discuss the athlete agent's representation of the athlete in the marketing of the athlete's athletic ability or reputation.
- B. Only an athlete agent shall be allowed to contact an athlete. The use, encouragement, or solicitation of any intermediaries or third persons to contact an athlete by an athlete agent is prohibited.
  - C. An athlete agent owes all of the following duties to an athlete:
  - (1) A duty to disclose to the athlete any risk of loss of eligibility.
  - (2) A duty to explain to the athlete all foreseeable consequences of any athlete agent contacts.
- (3) A duty to refrain from any contacts with an athlete until having complied with notification requirements to the institutions or schools as required by Subsection D of this Section.
- (4) A duty to deal directly and without the use of intermediaries or any third person with any athlete.
- (5) A duty to not provide an athlete with anything of value prior to the execution of an agent contract.

- (6) A duty to refrain from any conduct which would cause the athlete to violate any rule of the federation or association of which the institution or school where the athlete is participating in sports contests is a member.
  - D. An athlete agent owes all of the following duties to an institution or school:
- (1) A duty to comply with notification requirements to the athletic director of the institution or school the athlete attends and to the head coach of the sport in which the athlete competes.
- (2) A duty to refrain from any conduct which would cause the institution or school to violate the rules of the federation or association of which the institution or school where the athlete is participating in sports contests is a member.
- (3) A duty to notify the athletic director of the institution or school that the athlete attends and the head coach of the sport in which the athlete competes seven days in advance of any contact with an athlete of an intent by the agent to contact the athlete.
- (4) A duty to notify the athletic director of the institution or school that the athlete attends and the head coach of the sport in which the athlete competes by way of written notice of the execution of an agency contract which must be given before any subsequent participation by the student athlete in interscholastic or intercollegiate athletics and not later than seventy-two hours after execution of an agent contract.
- E. Until such time as the athlete agent complies with the notification provided in Subsection D of this Section, this Chapter shall prohibit or limit an athlete agent from sending to an athlete any written materials relating to the professional credentials of the agent or to specific services offered by the agent relating to the representation of an athlete in the marketing of an athlete's athletic ability or reputation. Similarly, until such time as the athlete agent complies with the notification provided in Subsection D of this Section, this Chapter shall prohibit an athlete agent from any contact with the athlete or any third person, regardless of who attempts to initiate such contact.

Acts 1987, No. 925, §1; Acts 1990, No. 882, §1; Acts 1993, No. 702, §1; Acts 1999, No. 302, §1; Acts 2001, No. 1094, §1; Acts 2004, No. 351, §1.

#### §425. On-campus agent interviews

- A. All institutions of higher education located in this state shall sponsor athlete agent interviews on their campuses during the athlete's final year of eligibility to participate in intercollegiate athletics, and a registered athlete agent may interview the athlete to discuss the athlete agent's representation of the athlete in the marketing of the athlete's athletic ability or reputation.
- B. All institutions sponsoring athlete agent interviews shall give public notice of those interviews not later than the thirtieth day before the date on which the period in which the interviews may be conducted begins. Institutions shall provide written notice of the time, place, and duration of the athlete agent interview program to those registered athlete agents who have previously furnished the athletic director of such institutions with their addresses.
- C. The registered athlete agent shall strictly adhere to the specific rules of each separate institution with regard to the time, place, and duration of the registered athlete agent interviews. The interviews shall be conducted in the final year of eligibility during a period not to exceed thirty consecutive days.

D. When an athletic director and head coach is given notice by an athlete agent of intent to contact an athlete, the athletic director or head coach shall, within seventy-two hours, schedule a meeting with the athlete to explain to the athlete the consequences of such contact for the athlete and for the institution. After such meeting, the athletic director or head coach shall notify the athlete agent in writing that the contact may proceed.

Acts 1987, No. 925, §1; Acts 1990, No. 882, §1; Acts 1999, No. 302, §1; Acts 2004, No. 351, §1.

#### §426. Violations; penalties

- A. An athlete agent who violates the provisions of this Chapter is subject, pursuant to an adjudicatory hearing, to:
- (1) Forfeiture of any right of repayment of anything of value either received by an athlete as an inducement to enter into any agent contract or received for any other reason.
  - (2) Payment of a refund of any consideration paid to the athlete agent on an athlete's behalf.
- (3) Payment of reasonable attorney fees and court costs incurred by an athlete in suing an athlete agent for violation of this Chapter.
- B. Any person commits an offense if he intentionally or knowingly either files a false sworn complaint or gives false sworn testimony to any person concerning activities covered by this Chapter. This offense, upon conviction, shall be punishable by a fine of not more than one thousand dollars or by imprisonment of not more than six months, or both.
- C. Any athlete agent who fails to register as required by R.S. 4:422 shall, upon conviction, be guilty of a misdemeanor, punishable by a fine of not more than one thousand dollars or by imprisonment of not more than six months, or both.
- D. Any athlete agent who violates any other duty, obligation, or responsibility prescribed by R.S. 4:424 or engages in conduct prohibited by that Section, shall, upon conviction, be guilty of a felony punishable by a fine of no less than one thousand dollars and not more than ten thousand dollars or by imprisonment with or without hard labor for up to five years, or both.
- E. Any athlete agent who fails to comply with the filing and notice requirements of R.S. 4:423 shall, upon conviction, be guilty of a misdemeanor punishable by a fine of not more than one thousand dollars or by imprisonment of not more than six months, or both.

Acts 1987, No. 925, §1; Acts 1990, No. 882, §1; Acts 1999, No. 302, §1; Acts 2001, No. 1094, §1; Acts 2004, No. 351, §1.

#### §427. Records to be kept; penalties

- A. An athlete agent shall keep records of travel, entertainment, and other expenses incurred by the athlete agent which adequately describe the:
  - (1) Nature of the expenditure.
  - (2) Dollar amount of the expenditure.

- (3) Purpose of the expenditure.
- (4) Date and place of the expenditure.
- (5) Person or persons in whose behalf the expenditure was made.
- (6) Records documenting all notifications made to athletic directors or head coaches concerning intended contact with athletes.
- (7) Written notification received from athletic directors and head coaches indicating contacts with athletes may proceed.
- B. The records required to be kept by this Section shall be retained for not less than five years by the athlete agent.
- C.(1) The records provided for in this Section shall be subject to subpoena in case of a criminal investigation of the activities of the athlete agent.
- (2) The records provided for in this Section shall be disclosed pursuant to a court order obtained by an athlete who is named in the record, an institution of higher education that is located in this state which is named in the record, and the athletic conference of which the institution is a member, or the federation or association of which the school or institution is a member. Any such disclosure shall be made only to the extent necessary to determine or establish that a violation of this Chapter has occurred.
- D. Any person found to be in violation of the recordkeeping requirements of this Section shall, upon conviction, be guilty of a misdemeanor punishable by a fine of not more than one thousand dollars or imprisonment for not more than six months, or both.

Acts 1987, No. 925, §1; Acts 1999, No. 302, §1; Acts 2004, No. 351, §1.

#### §428. Implementing rules and regulations

The division may adopt rules in accordance with the Administrative Procedure Act necessary to carry out the provisions of this Chapter.

Acts 1987, No. 925, §1; Acts 1999, No. 302, §1.

#### §429. Time for registration and compliance with Chapter

An athlete agent is not required to be registered and is not required to comply with this Chapter until October 1, 1987.

Acts 1987, No. 925, §1.

#### §430. Attorneys licensed in state

A. An attorney licensed to practice law in Louisiana who acts as an athlete agent in his practice of law shall not be required to pay a registration fee as provided in this Chapter if he is an active member of the Louisiana State Bar Association.

- B. The division shall verify with the Louisiana State Bar Association that attorneys registering as athlete agents have paid their annual membership dues and are in good standing with the association.
- C. Except as provided in Subsection A of this Section, an attorney acting as an athlete agent shall comply with all duties, obligations, responsibilities, and requirements of this Chapter and shall be subject to all provisions and penalties imposed by this Chapter for athlete agents.

Acts 1987, No. 925, §1; Acts 1999, No. 302, §1; Acts 2004, No. 351, §1

#### §431. Enforcement

- A. Each year the division shall compile a list of athletes, which were signed to a contract by a professional sports team, and shall contact the appropriate players associations to determine which agents have been retained to represent those athletes.
- B. In the event that the agents retained by the athletes are not registered in this state, the division shall contact the district attorney where the athlete's school or institution of higher education is located. The district attorney's office shall take appropriate measures to investigate and prosecute any unregistered athlete agents operating in this state.
- C. The division shall inform in writing the appropriate players association of any unregistered athlete agent operating in this state.

Acts 1999, No. 302, §1.

#### §432. Liability for loss of eligibility

- A. If an athlete loses his eligibility to participate in sports sanctioned by the federation or association of which the school or institution is a member, or if the school or institution is investigated or sanctioned by such federation or association as a result of any violation of the rules of the federation or association of which the school or institution is a member, the school or institution of higher education that such athlete attended shall have a cause of action, specifically including but not limited to an action of tortious interference with a contract, against such athlete, the athlete agent of such athlete, and any other parties connected with the violation.
- B. The prevailing party under a cause of action arising under Subsection A of this Section shall also have a right to collect reasonable attorney fees and court costs in addition to any other damages.

Acts 1999, No. 302, §1; Acts 2004, No. 351, §1.

#### §433. Unlawful payments; penalties

A. Notwithstanding any other provision of this Chapter to the contrary, it shall be unlawful for any person to make or offer a monetary payment, or anything of value to an athlete or any other person where such offer does any one or both of the following:

- (1) Causes the athlete to lose his eligibility to participate in sports sanctioned by the federation or association of which the school or institution is a member.
- (2) Causes the institution of higher education or school which the athlete attended or was being recruited to participate in sports contests at the time the payment or thing of value was received, to be investigated or placed on probation, penalized, or otherwise sanctioned by the federation or association of which the school or institution is a member.
- B. The athlete and the institution or school shall each have an independent cause of action, specifically including but not limited to a cause of action for tortious interference with an athlete contract against such person for damages, reasonable attorney fees, and court costs.
- C. Any person found to be in violation of the provisions of this Section shall, upon conviction, be guilty of a felony punishable by a fine of not more than ten thousand dollars or imprisonment, with or without hard labor, for not more than five years, or both.

Acts 1999, No. 302, §1; Acts 2001, No. 1094, §§1 and 2; Acts 2004, No. 351, §1.